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(19) (CA) **CANADIAN PATENT** (12)

(54) Apparatus for Monitoring Storing and Transmitting  
Detected Physiological Information

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APPARATUS FOR MONITORING,  
STORING AND TRANSMITTING DETECTED  
PHYSIOLOGICAL INFORMATION

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Background of the Invention

The present invention relates to an apparatus for monitoring, storing and transmitting detected physiological information and, more particularly, to such an apparatus which is portable, self-contained and microprocessor controlled.

There is an urgent need for a small, light weight, self-contained device for monitoring, storing and transmitting detected physiological information, particularly information considered critical in analyzing the condition of a patient. Such information includes electrocardiogram information, echogram information, electroen- cephalogram information, blood pressure information, and other related physiological information. By having such information instantly available, a physician can evaluate the immediate condition of a patient in order to make an informed diagnosis with regard to the patient's physiological condition and any reported symptoms. By combining this information with the patient's



previous history, a physician may be able to treat the patient's condition without having to repeatedly send the patient to the hospital.

While the devices presently available

5 permit a physician or medical technician to monitor one or more different types of such physiological information, they are inadequate for several reasons. The available devices are generally large in size, heavy in weight, and require a highly

10 trained health professional to be physically present with the patient when the data is being acquired. Due to the transient nature of many symptoms, which can occur at any time of the day or night, it is difficult, impractical, and expensive

15 to have a health care professional transport the other devices to the patient or to have the patient go to a hospital whenever a symptom is reported. In addition, many of the presently available devices are relatively inefficient with respect to

20 energy usage and, therefore, must generally be plugged into an AC wall outlet, thereby further hampering the portability of the device. Moreover, many of the prior art monitoring devices which are portable do not have the capability of storing a

25 significant amount of physiological information. Thus, the physician or other health care

professional must be located with the patient to obtain the information from the device or the information must somehow be relayed to the physician or other health care professional. This is particularly applicable to the many existing devices which are adapted for the direct transmission of the data over an existing communications system, such as a telephone.

Furthermore, many existing ECG monitoring devices are limited in their capability. For example, although there are various types of cardiac monitoring devices presently available, for the most part, these devices are only capable of receiving information from a single ECG lead or, at most, a limited number of ECG leads, such as four ECG leads. Thus, the current devices only provide the physician with a small portion of the required information needed to make a diagnosis and to establish adequate therapy.

The present invention provides a small, light weight battery powered apparatus for monitoring, storing and transmitting detected physiological information concerning a patient. The apparatus is battery powered and self-contained and provides the ability both to store the physiological information in its own internal

memory and to transmit the physiological information over an existing communication system, such as a telephone line. Alternatively, the present invention can display the physiological information on its built-in graphic display or can provide a permanent record of the information with its optional built-in graphic printer. It also provides the individual operator, be it a health care professional or the patient, the ability to actually visualize on the real time graphic display the actual information being recorded. This allows the operator to verify the quality of the information as it is being stored and, if necessary, adjustments to the lead placement can be made prior to the transmitting of data. This reduces the need for repetitive data samples due to artifact, AC interference, somatic tremor, and base line wandering, which are generally encountered with the present devices.

20           The present invention is capable of receiving, storing and transmitting a full twelve lead ECG signal and/or an eight lead EEG signal, as well as information pertaining to the patient's blood pressure, blood flow, and other ultrasonic data pertaining to the cardiovascular system and/or intracranial processes. In addition, selected

information may be transmitted "live" and in "real time," if desired.

Due to its internal memory, the present invention permits the storage of pre-event  
5 information. For example, if a patient is having a symptomatic event, such as chest pain, dizziness, numbness, or headaches, stored cardiac or cerebral information indicating the physiological condition of the patient for a predetermined time prior to  
10 the occurrence of the symptomatic event can be retained for later analysis by a physician, or other health care professional.

The present invention is particularly useful when employed in a retirement home or  
15 nursing home. Generally, nursing homes do not have a physician permanently located on the premises, therefore, when a patient is in distress the physician is called for advice. Since the physician generally does not have all of the  
20 information required to make a diagnosis -- the patient is referred to a hospital. If the distress is transient, the physician may request that an ECG, EEG or other form of physiological testing be performed. It can take as long as seven days  
25 before the physician receives the results from this type of testing. By this time, the patient may

have experienced many uncomfortable hours of physical discomfort or pain due to the nature of the symptoms. The present invention permits the physiological information to be taken and recorded  
5 at will. Thereafter, the present invention may be taken to the nearest telephone and the information transmitted over the telephone line to a remote location for immediate evaluation by a physician or other health professional.

10           Because the present invention is light weight, self-contained and portable, it can be worn by an ambulatory patient without discomfort or inconvenience. The device can also be conveniently carried by physicians as they go on their rounds or  
15 on visitations. The present invention enables the physician to immediately obtain up-to-the-minute physiological information concerning the patient.

          The present invention also has the capability of obtaining and storing patient  
20 baseline data, as well as other important patient information, such as name, age, sex, physician's name, present medications and the like. The present invention may be programmed to continuously compare incoming physiological information with the  
25 previously stored baseline data and to generate a warning signal in the event that the comparison

indicates that the present physiological information deviates from the baseline information by more than a predetermined amount. The doctor or other health professional can determine the  
5 permissible deviation limits.

#### Summary of the Invention

Briefly stated, the present invention comprises a portable, self-contained microprocessor controlled apparatus for monitoring, storing and  
10 transmitting detected physiological information. The apparatus comprises means for sequentially receiving analog signals corresponding to heart activity from as many as twelve different cardiac sensors and means for receiving analog electrical  
15 signals corresponding to other physiological data. Means are provided for converting the received analog signals to a series of digital pulses at a predetermined sampling rate. Memory means are provided for receiving and storing the digital  
20 pulses in predetermined memory locations. Means are provided for recalling the stored digital pulses from the memory means and for transmitting the digital pulses to a remote location over a communication system. In the preferred embodiment,  
25 the present invention further includes means for detecting the presence of a pacer signal and for



generating digital pulses upon the occurrence of  
pacer signals and an optional printer means for  
providing a printed output representative of any  
physiological information being received and  
5 stored. The preferred embodiment also includes  
means for sequentially receiving analog signals  
corresponding to brain activity from a plurality of  
sensors for storage in the memory means and means  
for receiving the digital pulses from the memory  
10 means for converting the pulses to analog form and  
for displaying the analog signals to provide a  
graphic representation of the physiological  
information.

Description of the Drawing

15 The foregoing summary, as well as the  
following detailed description, will be better  
understood when read in conjunction with the  
appended drawing. For the purpose of illustrating  
the invention, there is shown in the drawing an  
20 embodiment which is presently preferred, it being  
understood, however, that this invention is not  
limited to the precise arrangement shown.

In the drawing, Fig. 1 is a schematic  
block diagram of a portable, self-contained,  
25 microprocessor apparatus for monitoring, storing

and transmitting detected physiological information in accordance with the present invention.

Description of a Preferred Embodiment

Referring now to Fig. 1, there is shown a  
5 schematic block diagram representation of an  
apparatus for monitoring, storing and transmitting  
physiological information, generally indicated as  
10, in accordance with the present invention. The  
apparatus 10 is a small, portable, self-contained,  
10 microprocessor controlled device which may be  
employed for monitoring a variety of physiological  
information, including, for example, ECG, EEG,  
blood pressure, heart rate information, and other  
information or data pertaining to the cardio-  
15 vascular system and/or intracranial processes,  
preferably ultrasonically obtained, and for storing  
the information and data in an internal memory  
system.

The present embodiment of the invention  
20 may be employed in connection with a single  
standard ECG or EEG lead (with a reference lead to  
establish a common or ground) 12, or with as many  
as twelve such leads (not shown). The single lead  
12 or the plurality of leads (not shown) are each  
25 connected on one end to a sensor or electrode (not  
shown) which is secured to the patient being

monitored in the usual manner. The other end of each of the leads 12 is connected to the apparatus 10 utilizing a standard connector (not shown) of the type which is normally used in making such lead connections. For the purpose of illustrating the structure and operation of the present invention, the following description relates to a single lead 12, it being understood that the apparatus 10 may be employed with as many as twelve such leads.

10           The electrode (not shown) senses heart or brain wave activity in a manner well known in the art, and generates low voltage analog electrical signals which are proportional to the activity sensed. The analog electrical signals from the electrode are conducted along the lead 12 to the apparatus 10. Within the apparatus 10, the analog electrical signals first enter signal conditioning circuitry, shown collectively as 14, for initial processing and for converting to digital form. The signal conditioning circuitry 14 includes a front end switching network 16 which is employed for switching or multiplexing the signals received from multiple ECG or EEG leads (not shown) when multiple leads are employed. When the multiple leads are employed, the switching network 16 operates to sequentially receive and pass the signals from each

lead on a time division basis, the signals from each lead being received and sequentially passed for a predetermined time, for example, fifteen seconds. The output of the switching network 16 is  
5 connected by a plurality of lines shown collectively as line 18 to a programmable amplifier 20.

The programmable amplifier 20 is micro-processor controlled and operates to amplify and adjust the amplitude of the analog signals received  
10 from lead 12 to a predetermined level for further processing. The predetermined amplitude level is obtained by setting the gain of the programmable amplifier 20 to an initial level and then increasing the gain by a series of predetermined  
15 steps until the amplifier 20 becomes saturated. In the present embodiment, each of the predetermined steps increases the gain of the amplifier 20 to generally twice the gain afforded by the previous step. The gain of the programmable amplifier 20 is  
20 increased by changing the resistance of a gain resistor (not shown) from a relatively high resistance, for example, 9.76 K ohms to progressively lower resistance, for example, 4.87K 2.43K, 1.21K ohms, etc., each of which is about  
25 one-half of the resistance of the previous resistor. The resistance value of the gain

resistor is changed by a switching network or multiplexer (not shown) which is controlled by a programmed microprocessor through a programmable interface adapter (not shown) to switch gain  
5 resistors into and out of the amplifier circuit. The microprocessor also monitors the output gain of the programmable amplifier 20 to determine when the amplifier becomes saturated.

Once the programmable amplifier 20  
10 reaches saturation, the amplifier gain is decreased by one step (i.e., reduced by one-half) to bring the amplifier out of saturation and to establish the gain setting required to provide the pre-determined amplitude for the analog signals from  
15 the particular lead 12. Thereafter, the particular gain setting is stored in a predetermined memory location for later recall. Of course, when multiple leads are employed, the amplitude of the received analog signals for each lead must be  
20 separately adjusted to establish a setting for the programmable amplifier 20 for each lead. Each of the programmable amplifier gain settings is stored in its own predetermined memory location for recall at the appropriate time to reset the gain of the  
25 programmable amplifier 20 each time the signals for

the particular corresponding lead are received from the switching network 16 for processing.

The output of the programmable amplifier 20 is attached by a suitable conductor or line 22 to a programmable filter 24. The programmable filter 24 is provided to filter out or remove unnecessary and/or undesirable signals and transients, such as muscle noise, etc. In the present embodiment, the programmable filter 24 is of the band pass type and may be programmed to pass a band between 0.05 Hz and 100 Hz or a band between 0.05 Hz and 50 Hz. The bandwidth of the programmable filter 24 is changed by varying the resistance value of an associated resistance network (not shown). The resistance value of the resistance network is varied by a switching network or multiplexer (not shown) which is controlled by a programmed microprocessor through a programmable interface adapter (not shown) to switch resistors (not shown) into or out of the resistance network. The microprocessor is responsive to the selection of the desired bandwidth by the operator, doctor, or other health care professional. The output signal from the programmable filter 24 comprises an analog signal of a predetermined amplitude and of a narrow, predetermined bandwidth.

The output signal from the programmable filter 24 is passed or transmitted along line 26a to one input of a multiplexer 28. The multiplexer 28 may also receive other input signals from other  
5 sources along lines 26b, and 26c. The other sources may be sensors which provide similar analog electrical signals proportional or corresponding to other desired physiological characteristics. The multiplexer 28 which, in the present embodiment is  
10 of the time division type, is controlled by the microprocessor and is employed for multiplexing the various analog signals for transmission to the remainder of the apparatus 10, as discussed below.

In the present embodiment, line 26b is  
15 connected to a sensor data processor 30. The sensor data processor is connected to one or more sensor leads (not shown) for receiving signals pertaining to other cardiovascular system data or intracranial processes. Preferably, the sensors  
20 (not shown) are of the ultrasonic type, which may include a processing device. Of course, any other suitable type of sensor with or without a processing device may alternatively be employed.

Line 26c is connected through appropriate  
25 amplifier and conditioning circuitry 33 to a blood pressure sensor 32. Basically, the blood pressure

sensor 32 is used in measuring blood pressure. The blood pressure sensor 32 includes a blood pressure cuff (not shown) which is periodically inflated and deflated and a sensor for detecting the blood flow  
5 and pressure through the veins to determine the blood pressure of the individual being monitored. The microprocessor is programmed to recognize systolic and diastolic conditions. At these points, the microprocessor reads the blood pressure  
10 sensor (via multiplexer and A/D converter) and stores the values in memory for later transmission and/or display.

Line 37 is employed for conducting the output of the programmable amplifier 20 directly to  
15 the pacer detection circuit 35, bypassing the programmable filter 24. The pacer detection circuit 35 includes a filter 35a and a threshold detector 35b. The filter 35a is employed to pass only those signals generated by a pacer (not  
20 shown). In the preferred embodiment, the filter is a high pass filter of the type which passes signals having a frequency greater or equal to the pacer signal (for example, 1 KHz). The pass frequency is adjustable so that all common pacer signals can be  
25 detected. The threshold detector 35b is employed to condition the pacer signal for transmission to



the microprocessor. The microprocessor is programmed to insert the pacer signal at the appropriate memory location so that the pacer signal will be seen at the appropriate location in  
5 the ECG waveform, when the ECG is displayed or transmitted for display and review by the doctor or other medical professional.

Output signals from the multiplexer 28 are passed along a conductor or line 36 to an  
10 analog to digital (A/D) converter 38. In the present embodiment, the A/D converter 38 is of the eight bit serial type and is employed to convert the incoming analog signals into a series of digital pulses. The A/D converter operates at a  
15 predetermined sampling rate to provide digital pulses having a predetermined width and with a predetermined spacing between the pulses. In order to prevent the loss of any significant physiological information contained in the received analog  
20 signals, the A/D converter 38 utilizes a sampling rate which is relatively high. In the presently preferred embodiment the sampling rate is on the order of 300 Hz.

In the present embodiment, the A/D  
25 converter 38 is a typical eight bit A/D converter of the type which is generally well known in the

art and which may be purchased commercially from a variety of manufacturers. In the presently preferred embodiment the A/D converter 38 is an integrated circuit model TLC549IP which is produced  
5 and sold by Texas Instruments. A detailed discussion of the structure and operation of the A/D converter is not necessary for a complete understanding of the present invention and is available from the manufacturer. Basically, the  
10 A/D converter 38 receives the filtered analog signals at the predetermined amplitude level and converts them to a series of spaced digital pulses corresponding to the amplitude of the input analog signals.

15 The digital pulses from the A/D converter 38 are transmitted along line 40 to the control circuitry portion 42 of the apparatus 10. The heart of the control circuitry portion 42 is a microprocessor 44. In the presently preferred  
20 embodiment, the microprocessor 44 is of a type well known in the art and preferably is a model GS65C02 which is produced and sold by GTE Corporation. Complete details of the structure and operation of the microprocessor 44 are available from the  
25 manufacturer and will not be included in the present application since they are not necessary

for a complete understanding of the present invention.

Associated with the microprocessor 44 is a digital interface 48. Like the microprocessor  
5 44, the digital interface is of a type generally well known in the art and commercially available. Details of the structure and operation of the digital interface 48 are available from the various manufacturers. The microprocessor 44 receives  
10 signals from the pacer detection circuit 35 through the digital interface 48 along line 39.

The apparatus 10 further includes a memory circuit portion 50. As shown in Fig. 1, the memory circuitry includes an address decoder 52,  
15 random access memory (RAM) 54, and read only memory (ROM) 56. The microprocessor 44 is connected to the address decoder 52 through a plurality of conductors shown collectively on Fig. 1 as line 58. The address decoder 52 operates in the normal  
20 manner to permit the microprocessor 44 to access both the RAM 54 and the ROM 56 for reading and writing data and instructions. The address decoder 52 is of the type which is generally well known and commercially available from a variety of manu-  
25 facturers. A detailed discussion of the structure and operation of the address decoder 52 is not

necessary for a complete understanding of the present invention, and therefore will not be presented.

Likewise, the RAM 54 and the ROM 56 of  
5 the present embodiment are also generally well known commercially available products. A complete description of the structure and operation of the RAM 54 and the ROM 56 is not necessary for an understanding of the present invention and,  
10 therefore, will not be presented. The ROM 56 is employed for the storage of data and instructions, including a program for the operation of the apparatus 10 as controlled by the microprocessor 44. Similarly the RAM 54 is employed for the  
15 storage of data and instructions utilized in the operation of the apparatus 10.

The digital interface 48 is employed to provide digital communication between the micro-processor 44 and display circuitry 60 along a  
20 plurality of conductors or lines shown collectively as line 62. The display circuitry includes a liquid crystal display (LCD) driver 64 which is connected through a plurality of lines shown collectively as line 66 to a dot matrix liquid  
25 crystal display (LCD) 68. The LCD driver 64 is of the type generally known in the art and

commercially available. A complete description of the structure and operation of the LCD driver 64 is available from the manufacturer and, therefore, will not be presented. The LCD driver 64 receives  
5 digital signals from the microprocessor 44 through the digital interface 48 and conditions the digital signals for display of the information by the liquid crystal display 68.

In the present embodiment, the liquid  
10 crystal display 68 comprises a dot matrix type graphic display which is employed for displaying waveforms, such as ECG or EEG waveform traces and other graphic information as will hereinafter be described. In addition, alpha numeric characters  
15 such as heart rate and diagnostic messages about the status of the apparatus 10 can be displayed.

The display circuitry 60 also optionally includes a miniature graphic printer 63 and a printer interface 65 which is connected to the  
20 digital interface 48 by a plurality of lines shown collectively as line 67. The printer 63 is of a well known type which is commercially available. The printer 63 is employed to provide a more permanent, hard copy of the physiological  
25 information which is received and stored by the apparatus 10. The printer interface 65 is also of

a type generally well known and commercially available and is employed for receiving signals from the microprocessor 44 and placing the signals into the proper format for the printer 63. In this manner, the printer 63 may be employed for providing a standard ECG strip showing the various ECG and EEG waveforms, printed readout of blood pressure, etc.

The digital interface 48 is also connected to test circuitry 70 by way of a plurality of conductors or lines shown collectively as line 72. The test circuitry 70 is employed for testing various structural and operational aspects of the apparatus 10. Aspects of the apparatus 10 which may be tested include electrodes 12, the programmable filter 24, A/D converter 38, ROM 56, RAM 54 and the battery (not shown) which is employed to provide power to the apparatus 10. Information concerning the status of the various aspects of the apparatus 10 which have been tested may be displayed on the liquid crystal display 68. For example, if it is determined that the battery is getting unacceptably weak, a suitable message such as "low battery power" may be displayed on the liquid crystal display. Preferably, the low battery warning will flash on and off

intermittently to attract the attention of the user. The test circuitry 70 also operates to test the communication link between the apparatus 10 and the communication equipment at the remote location.

5 In the event that there is a disruption in the communication link, the liquid crystal display is intermittently flashed on and off to attract the attention of the patient to correct the communication problem and/or to retransmit the data.

10 The apparatus 10 is also adapted to automatically shut itself off after the low battery warning has been flashed for an extended period of time, in the present embodiment, about two hours. The automatic shut-off feature is also employed to  
15 power down the apparatus 10 when checks by the microprocessor 44 indicate that no analog signals are being received by the apparatus 10. For example, when monitoring heart activity, the microprocessor 44 checks for periodic electrical  
20 signals above a certain threshold which occur with some regularity. If no such signals are obtained for a predetermined period of time, the apparatus 10 is automatically powered down. Of course, when in the low power or "off" mode, the apparatus 10  
25 still maintains the RAM 54 so that no previously stored information is lost. Moreover, if the RAM

54 is of the volatile type, the apparatus 10 includes a back-up battery (not shown) to preserve the signals stored in the memory even if the primary battery (not shown) is exhausted or  
5 otherwise fails.

The apparatus 10 also includes built-in circuitry 74 for two-way communication between the apparatus 10 and a remote location. With the present embodiment, such communication is  
10 preferably by way of an existing telephone line (not shown). However, other forms of communication, for example, radio wave communication, cellular telephone communication, etc. (not shown) may alternatively be employed. The communication  
15 circuitry 74 permits the patient or user of the device 10 or any other individual such as a nurse, paramedic, or the like, to transmit signals representative of physiological information to a remote location such as a centrally located nurses'  
20 station, hospital, doctor's office, cardiac monitoring center, or the like. In this manner, a trained professional at the remote location can monitor the patient's condition for the purpose of evaluating the information received, so that some  
25 form of treatment can be suggested by the medical professional. This information can either be



transmitted directly to a physician by using the receiving capabilities of a device identical to the one being used by the patient or it can be transmitted to a central base station.

- 5           The communication circuitry 74 of the present embodiment includes a universal asynchronous receiver transmitter or UART 78 which is connected to the microprocessor 44 along a plurality of lines shown collectively as line 76.
- 10   The UART 78 receives digital signals in parallel from the microprocessor 44 and converts them to serial form for transmission. The UART 78, in turn, is connected to a high speed modem 80, preferably of the phase shift keying type, which
- 15   receives and modulates the serial digital signals for transmission along a telephone line or some other type of communication or data transmission means. Preferably, the signals are transmitted at a rate of 2400 baud to provide a display at the
- 20   remote location which is equal to real time. The output of the modem 80 passes through a filter 82, and an amplifier 84. A speaker 86 and a microphone 88 are used in the preferred embodiment of
- 25   acoustical coupling to a telephone line. (If acoustically coupling, current technology permits only 1200 baud transmission rates - this is due

primarily to the low quality of telephone  
handsets.) The speaker 86 is of the type designed  
to interconnect with a standard telephone handset  
(not shown). Alternately, the modem can be  
5 connected directly to the telephone line, thus  
avoiding the need for an acoustic coupling.

The UART 78, modem 80, filter 82,  
amplifier 84 and speaker 86 are all components of  
the type which are generally well known in the art  
10 and are commercially available from a variety of  
manufacturers. Complete details of the structure  
and operation of each of these components are  
generally well known and available from the  
manufacturers and elsewhere, and are not necessary  
15 for a complete understanding of the present  
invention. These components cooperate in a known  
manner to serially transmit digital data signals  
received from the microprocessor 44 along a  
standard telephone line (not shown) for reception,  
20 conversion and analysis at a remote location.

For situations in which acoustical  
coupling is preferred, the communication circuitry  
74 also includes a microphone 88 which is similarly  
adapted to interface with a standard telephone  
25 handset (not shown). The output of the microphone  
88 is connected to an amplifier 90 and thereafter

to the modem 80 and UART 78. In this manner, the monitor is acoustically coupled to the telephone line. The microphone 88 and amplifier 90 are also components of a type which are generally well known  
5 in the art and commercially available from a variety of manufacturers. The microphone 88 is not required in the case of direct coupling.

The modem 80 and UART 78 permit signals transmitted from a remote location along a  
10 telephone line (not shown) to be conditioned, demodulated and fed in parallel to the microprocessor 44. In this manner, two-way communication between the transmitting device and a like device for receiving information at the remote location is  
15 possible via the interconnecting telephone lines or other transmission means (not shown).

The communication circuitry 74 is employed to transmit calibration data, as well as test signals, to check on the proper operation of  
20 the communication link. In the event that the communication line between the apparatus 10 and the remote location is improperly established or becomes improperly established, the liquid crystal display will flash a warning to the patient. In  
25 addition, if the physician at the remote location wishes to talk to the patient, for example, to

request that the information be resent, a signal can be sent to the apparatus 10 to cause the liquid crystal display 68 to flash a warning to the patient, indicating that the patient should speak  
5 over the communication system to the doctor. The communication circuitry 74 is also employed to send the more general patient data to the remote location. Such patient data includes the name of the patient, address of the patient, name of the  
10 doctor, current medication being taken by the patient, etc. In addition, baseline information concerning the patient may also be transmitted to the remote location, via the communication circuitry 74. The doctor or other health  
15 professional at the remote location can obtain such data by sending an appropriate signal to the apparatus 10.

The foregoing description and Fig. 1 are intended to provide a general description of the  
20 overall structure of the major operational sections of the apparatus 10 of the present invention, along with a brief discussion of some of the specific components employed within those sections. In operating the apparatus 10, the patient or medical  
25 professional first determines whether he or she is interested in monitoring cardiac or another type of

physiological information (i.e., ECG, EEG, blood pressure, etc.) and then connects the appropriate leads or sensors to the individual being monitored. As previously discussed, when monitoring an ECG,  
5 as many as twelve leads could be employed.

However, for the purpose of illustrating the operation of the apparatus 10, it will be assumed that only a single lead ECG (including a reference or common lead) has been selected.

10           The apparatus 10 is preprogrammed to operate as required to receive, store and transmit the selected physiological information. The program is permanently stored in the ROM 56 for recall and utilization by the microprocessor 44 as  
15 needed, in the usual manner. However, program variables can be set by the physician. These variables control the parameters at which the device automatically triggers storage of the data. For example, maximum and minimum heart rates for  
20 the patient can be stored. If, during use of the device, the rates go beyond the heart rate limits, the monitor automatically stores data and signals the user that storage has occurred. The user could then transmit the captured data.

25           Top level structure charts showing the progression of the main program and many of the

individual sub-programs or 'interrupts' which are utilized in providing a program to control the operation of the apparatus 10 are set forth in the appendix. The structure charts shown exemplify the  
5 presently preferred manner of programming the apparatus 10, it being understood that other forms of programs for controlling the apparatus 10 may be developed.

Initially, the microprocessor 44 checks  
10 the signal from the ECG lead 12 to insure that the lead is properly attached to the patient. In checking the incoming signal, the microprocessor 44 may monitor the waveform received from the lead 12 to make sure that it is generally periodic in  
15 nature and that it is within the range of the patient's ECG, or the microprocessor may perform an ohmic or other such measurement, comparing the result to stored values which indicate proper sensor attachment. If the sensor is properly  
20 attached, the microprocessor 44 actuates the programmable amplifier 20 to adjust the amplifier gain to a predetermined level. As previously indicated, in the present embodiment, the gain of the programmable amplifier is increased in a  
25 plurality of discrete steps, each step doubling the gain of the previous step. The amplifier gain is

increased step-by-step until the amplifier 20 reaches the saturation point. Thereafter, the gain is decreased by one step to provide the desired gain.

5           The amplified analog signals are then passed through the programmable filter 24 to filter out muscle noise, baseline wander and other noise and are converted to a serial train of digital pulses by the A/D converter. If desired, the blood  
10 pressure sensor 32, and other sensors (not shown) connected to the sensor data processor 30 may also be connected to the patient. Signals from these sensors are also digitized and fed to the microprocessor on a time division multiplexed basis.  
15 Since some signals from the other sensors may already be in digital form, these signals may be fed to the multiplexer 28 and from there directly to the microprocessor 44 along line 41.

          The digital pulses are received by the  
20 microprocessor 44 and are stored a series of predetermined memory locations within the RAM 54. In the present embodiment, the portion of the RAM 54 which is utilized for storing the ECG or other signals holds at least three minutes of signals.  
25 The memory is of the circular type so that it constantly stores the immediately preceding period

(such as three minutes) of ECG/EEG signals. It will be appreciated by those skilled in the art that the size of the memory of the present embodiment may be either smaller or larger, depending upon a number of factors, including power usage, physical size, etc.

As previously stated, it is often desirable to have the ability to observe a patient's heart activity or other physiological information at particular times, for example, just prior to the occurrence of a symptomatic "event" such as chest pain, or the like. Since the apparatus 10 can constantly monitor and store cardiac and other activity for the preceding period of time, it is possible to retain information concerning pre-event activity. With the presently preferred embodiment, there are two ways that such pre-event information may be retained, one manual and one automatic.

In the case of a patient who realizes the occurrence of an event, a switch (not shown) may be actuated to cause the microprocessor 44 to change the mode of operation from one of continuously recording and storing to one in which only the next period of ECG or other signals are stored. Alternatively, the microprocessor 44 can be



programmed to constantly compare incoming ECG signals with a baseline ECG signal. The baseline ECG signal corresponds to an expected "normal" ECG reading for the particular patient, which has been  
5 previously stored at a predetermined memory location. The microprocessor conducts a running comparison of the incoming ECG signals with the stored baseline signals. In the event that the comparison indicates a deviation which is greater  
10 than a predetermined amount (to be determined by the patient's doctor), the microprocessor itself changes the mode of operation from one of continuously recording and storing, to one in which only the next period of ECG signals are recorded,  
15 while the pre-event signals are retained in memory.

Whichever method is used, once the mode of operation has been changed, the incoming ECG signals are received and converted to digital form but no further signals are stored in the memory.  
20 Since the memory is capable of storing a large quantity of ECG/EEG signals, the patient's cardiac activity or other activity a period prior to the event and a period after the event can be stored within the memory (each time period can be selected  
25 by the physician) for later recall and transmission to the remote location for reconstruction and

analysis by a medical professional. For example, if a three minute memory were used, the device could store ECG's one minute prior to the event and two minutes after the event.

- 5           A switch (not shown) is also provided to reset the apparatus 10 to the continuously recording mode after the three minutes of information has been transmitted and received at the remote location. The switch may include a lock-out feature
- 10 which prevents it from being actuated until after a one mv calibration signal and the three minutes of stored ECG information have been transmitted or have been printed out on the printer 63. Alternatively, the microprocessor may be reset to the
- 15 continuously recording mode by way of a signal received from the remote location (not shown) only after the calibration signal and three minutes of ECG information have been properly received and stored or printed at the remote location.
- 20 Thereafter, the apparatus 10 operates in the usual manner, continuously storing the previous three minutes of heart activity or other information.

In addition to storing ECG and other signals for later transmission, the apparatus 10 is

25 capable of receiving the signals from the various leads or sensors and immediately transmitting the

signals to the remote location to provide "live" or "real time" information. When the device is operating in this manner, the digital signals from the A/D converter 38 are transmitted to the

5 microprocessor 44 but are not stored as previously discussed. Instead, the signals are immediately transmitted from the microprocessor 44 to the communications circuitry 74 for transmission to the remote location. Alternatively, the live or real

10 time signals may also be displayed on the liquid crystal display 68 or may be printed out by the printer 63 for immediate review and analysis by a medical professional who is co-located with the patient. Calibration signals can be transmitted or

15 displayed with the "live" mode through the activation of a switch (not shown).

#### Alternate Embodiment

The foregoing description relates to the presently preferred embodiment of the invention.

20 However, there may be situations in which it is necessary or desirable to have the capability of storing a greater number of digital signals than may be presently stored in the random access memory (RAM) 54. One way of storing additional physio-

25 logical information is to expand the storage capability of the existing RAM 54 either by adding

additional memory chips or by replacing the existing RAM chip with a chip having greater storage capacity. While there are certain advantages to expanding the storage capability of the memory in this manner, there is the drawback of the expanded memory possibly utilizing additional power, thereby requiring the batteries in the apparatus 10 to be changed with greater frequency. In addition, increasing the size of the memory in this manner may also result in an increased overall size for the apparatus 10 or may make the apparatus more expensive to produce.

A more expedient way of permitting additional physiological information to be stored in the existing RAM 54 is to provide a means for encoding or compressing the physiological information to thereby decrease the amount of memory required for the storing of a given amount of physiological information. One method of encoding such physiological information is described in detail in a paper entitled, "A Computer System for Capturing Transient Electrocardiographic Data," by Kenneth L. Ripley and Jerome R. Cox, Jr., which appeared at pages 439-445 of Computers in Cardiology, of the Institute of Electrical and Electronics Engineers Computer Society, in 1976.

The aforementioned paper described in detail a method of increasing the effective storage capacity of a predetermined size memory by a factor of three, utilizing the technique of encoding digitized data employing a Huffman code. The Huffman code provides for an exact reproduction of all of the original physiological information based on an optimum code set comprised of the smallest number of symbols for a given individual data message. A detailed description of the techniques employed with the Huffman code is available from the aforementioned publication.

Data compression, for example, the Huffman data compression technique, can be conveniently achieved by suitably programming the microprocessor 44 in accordance with the teaching of the aforementioned paper. Incoming physiological signals are processed by the signal conditioning circuitry 14 and are fed to the microprocessor 44. The microprocessor 44 encodes or compresses the data for storage in the RAM 54. When the information is sent to the remote location over the communications system 74, the encoded or compressed data is decoded or decompressed by the base unit (not shown), or by a corresponding

portable apparatus for display and consideration by a doctor or other health care professional.

While the present embodiment employs a Huffman encoding technique, it will be appreciated  
5 by those skilled in the art that other encoding or data compression techniques could alternatively be employed.

From the foregoing description, it can be seen that the present invention provides a port-  
10 able, self-contained microprocessor controlled apparatus for monitoring, storing and transmitting detected physiological information. It will be recognized by those skilled in the art that changes may be made to the above-described embodiments of  
15 the invention without departing from the broad inventive concepts thereof. It is understood, therefore, that this invention is not limited to the particular embodiment disclosed, but it is intended to cover any modifications which are  
20 within the scope and spirit of the invention as defined by the appended claims.

The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

1. A portable, self-contained, micro-processor controlled apparatus for monitoring, storing and transmitting detected physiological information, the apparatus comprising:
  - means for sequentially receiving analog signals corresponding to heart activity from as many as twelve different cardiac sensors;
  - means for receiving analog electrical signals corresponding to blood pressure from at least one sensor;
  - means for converting the received analog signals to a series of digital pulses at a predetermined sampling rate;
  - memory means for receiving and storing the digital pulses in predetermined memory locations;
  - means for recalling the stored digital pulses from the memory means; and
  - means for receiving the recalled digital pulses from the memory means and for transmitting the digital pulses to a remote location over a communications system.
2. The apparatus as recited in claim 1 wherein the means for receiving the analog

electrical signals corresponding to heart activity includes signal conditioning means.

3. The apparatus as recited in claim 2 wherein the signal conditioning means comprises a  
5 programmable amplifier for adjusting the amplitude of each received analog signal to a predetermined level.

4. The apparatus as recited in claim 3 wherein the predetermined amplitude level is  
10 obtained by adjusting the gain of the amplifier upwardly from an initial level by a series of predetermined steps until the amplifier becomes saturated and then by adjusting the gain of the amplifier downwardly by one step.

15 5. The apparatus as recited in claim 4 wherein each of the predetermined steps increases the gain of the amplifier to be two times the gain of the previous step.

6. The apparatus as recited in claim 5  
20 wherein the gain in each of the predetermined steps of the amplifier is doubled by decreasing the resistance of a gain resistor by about one-half.

7. The apparatus as recited in claim 4 wherein the predetermined level for each received  
25 sensor is stored in a predetermined location and is recalled to set the gain of the programmable



amplifier when the signals for the corresponding sensor are received.

8. The apparatus as recited in claim 2 wherein the signal conditioning means comprises a  
5 programmable filter of the band pass type.

9. The apparatus as recited in claim 8 wherein the programmable filter operates in the range of 0.05 Hz to 100 Hz.

10. The apparatus as recited in claim 1  
10 further including means for receiving signals corresponding to other cardiovascular or intracranial information from at least one sensor.

11. The apparatus as recited in claim 10 wherein the sensor is of the ultrasound type.

12. The apparatus as recited in claim 1  
15 further including means for receiving the analog signals for corresponding to heart activity and for detecting the presence of a pacer signal.

13. The apparatus as recited in claim 12  
20 wherein the pacer detecting means further includes means for generating digital pulses upon the occurrence of a pacer signal, the generated digital pulses being received and stored in the memory means.

14. The apparatus as recited in claim 1  
25 further including printer means for receiving the

recalled digital pulses from the memory means and for providing a printed output waveform representative of the physiological information.

15. The apparatus as recited in claim 1  
5 further including means for receiving signals from a remote location over a communications system and means for converting the received signals to digital pulses.

16. The apparatus as recited in claim 1  
10 further including means for sequentially receiving analog signals corresponding to brain activity from a plurality of sensors, the brain activity signals being supplied to the means for converting the signals to a series of digital pulses.

15 17. The apparatus as recited in claim 1 further including means for receiving the recalled digital pulses from the memory means, for converting the digital pulses to analog form and for displaying the analog signals to provide a  
20 graphic representation of the physiological information.

18. The apparatus as recited in claim 17 wherein the means for receiving, converting and displaying includes a dot matrix type liquid  
25 crystal graphic display device.

19. The apparatus as recited in claim 1 further including means for receiving the digital pulses from the means for converting and for transmitting the received digital pulses to a  
5 remote location over a communications system substantially at real time.

20. The apparatus as recited in claim 1 wherein the memory means is of the circular type and is of a predetermined size, when the memory is  
10 filled, further digital pulses which are received are stored in the memory by deleting previously stored pulses on a first-in, first-out basis.

21. The apparatus as recited in claim 20 further including means for preventing the deletion  
15 of pulses from the circular memory.

22. The apparatus as recited in claim 21 wherein the circular memory has the capability of storing digital pulses equivalent to at least three minutes of physiological activity.

20 23. The apparatus as recited in claim 22 wherein upon the happening of an event the apparatus retains the stored digital pulses corresponding to a preceding period of physiological activity, continues to store digital pulses correspond-  
25 ing to the next period of physiological activity,

and thereafter retains at least three minutes of stored digital pulses.

24. The apparatus as recited in claim 1 further including encoding means for encoding the digital pulses prior to storage of the digital pulses in the memory means.

25. The apparatus as recited in claim 24 wherein the encoding means utilizes a Huffman code for encoding the digital pulses.

26. A portable, self-contained, micro-processor controlled apparatus for monitoring, storing, and transmitting detected physiological information, the apparatus comprising:

means for sequentially receiving analog signals corresponding to brain activity from a plurality of sensors;

means for converting the received analog signals to a series of digital pulses at a predetermined sampling rate;

memory means for receiving and storing the digital pulses in predetermined memory locations;

means for recalling the stored digital pulses from the memory means; and

printer means for receiving the controlled, digital pulses, for transmitting the

pulses into analog form, and for providing a printed output waveform representative of the brain wave activity of the patient.

27. A portable, self-contained, micro-  
5 processor controlled apparatus for monitoring and recording physiological activity of a patient, the apparatus comprising:

means for receiving analog electrical  
signals corresponding to the patient's physio-  
10 logical activity from at least one sensor secured to the patient;

means for converting the received analog  
signals to a series of digital pulses at a pre-  
determined sampling rate;

15 first memory means for initially receiving and storing digital pulses representative of the patient's initial physiologic activity;

second memory means for receiving and  
storing digital pulses representative of the  
20 patient's current physiological activity;

comparison means for continuously  
comparing the pulses stored in the first memory  
means with the pulses stored in the second memory  
means for determining whether the pulses stored in  
25 the second memory means deviate from the pulses

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stored in the first memory means by more than a  
predetermined threshold amount;

indicator means for indicating when the  
deviation exceeds the predetermined threshold

5 amount;

means for recalling the stored digital  
pulses from the second memory means; and

means for receiving the recalled digital  
pulses from the second memory means and for  
10 transmitting them to a remote location over a  
communications system.



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ABSTRACT

A portable, self-contained, micro-processor controlled apparatus for monitoring, storing and transmitting detected physiological  
5 information, including means for sequentially receiving analog signals corresponding to heart activity for as many as twelve different cardiac sensors. Means are provided for receiving analog electrical signals corresponding to blood pressure  
10 from at least one sensor. Means are providing for converting the received analog signals to a series of digital pulses at a predetermined sampling rate and for receiving and storing the digital pulses in predetermined memory locations. Means are provided  
15 for recalling the stored digital pulses from the memory means and for receiving the recalled digital pulses and for transmitting them to a remote location over a communications system. In the preferred embodiment, means are provided for  
20 receiving analog signals corresponding to cardiac conductivity, brainwave activity, blood pressure, blood flow and other ultrasonic cardiovascular and intracranial data. The latter signals are also converted to digital form for storage and later  
25 recall and transmission to a remote location.

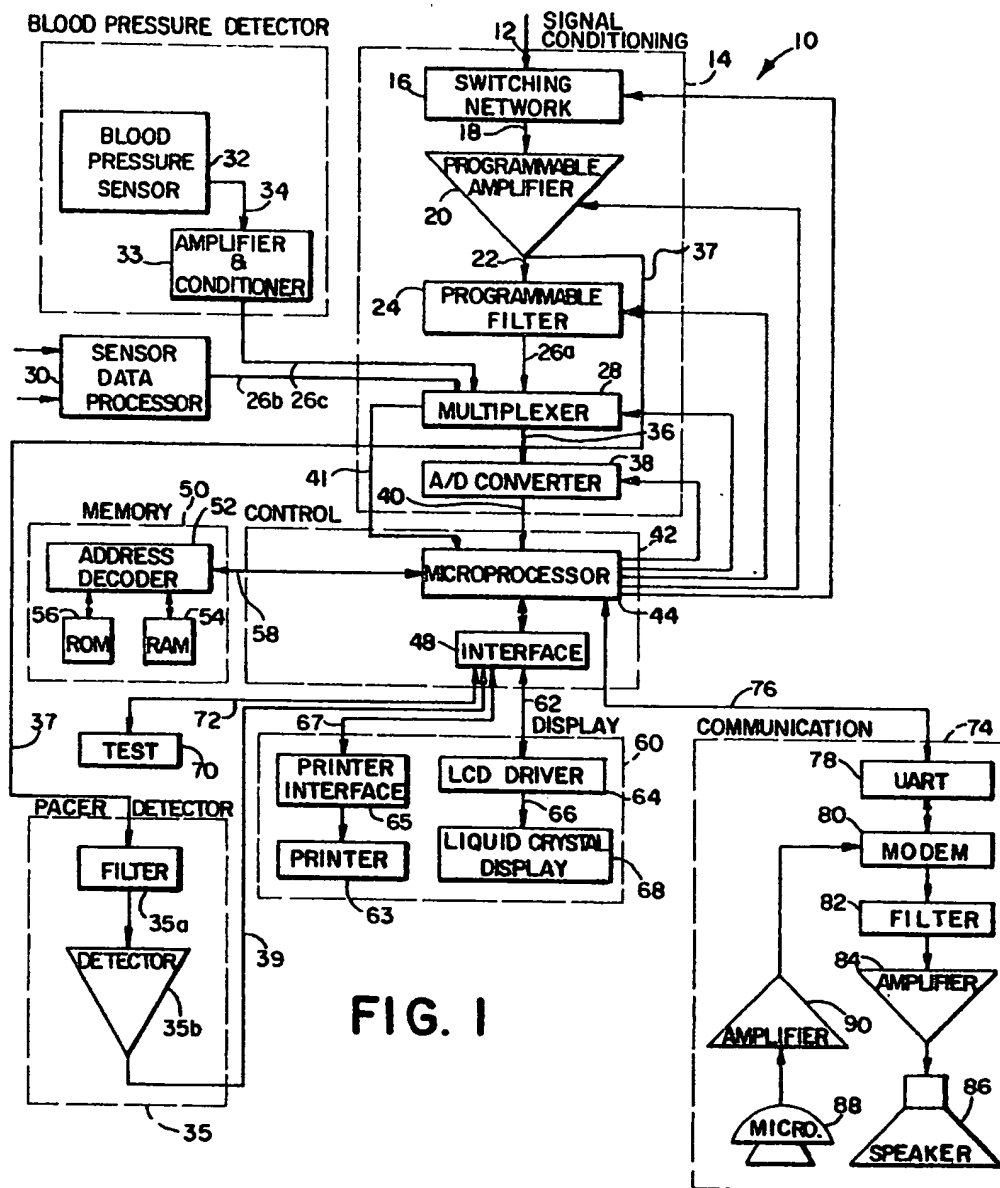
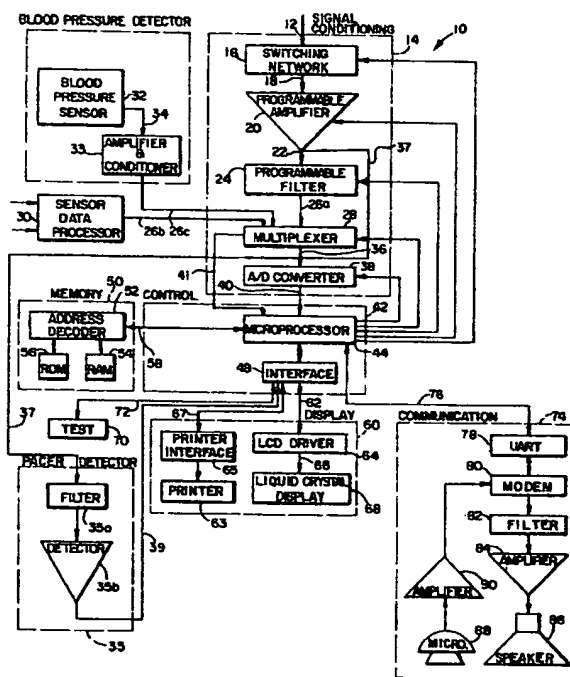


FIG. 1

*Moss, Hammond*





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